

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Complete if Known

Application Number	09/706,849
Filing Date	November 7, 2000
First Named Inventor	Fisher, Alan
Group Art Unit	3691
Examiner Name	Akintola, Olabode

Sheet 1 of 2

Attorney Docket No: 2043.086US2

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
DA	US-2001/0032175A1	10/18/2001	Holden, G. , et al.	04/26/2001
	US-2002/0169710A1	11/14/2002	Morimoto, Nobuyoshi	04/26/2002
	US-2005/0022115A1	01/27/2005	Baumgartner, Roberts , et al.	05/17/2004
	US-2007/0106571A1	05/10/2007	Grove, B. A., et al.	12/29/2006
	US-2007/0112644A1	05/17/2007	Grove, B. , et al.	12/29/2006
	US-4,992,940	02/12/1991	Dworkin, R. E.	03/13/1989
	US-7,003,485	02/21/2006	Young, D.	05/05/2000
EA	US-7,133,835	11/07/2006	Fusz, Eugene A., et al.	10/30/1995

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ²
--------------------	---------------------	------------------	---	----------------

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DA		"Application Serial No. 09/504,261 (Atty. Ref. 2043.232US1) Final Office Action mailed 06-23-2003", 7 pgs	
		"Application Serial No. 09/504,261 (Atty. Ref. 2043.232US1) Non Final Office Action mailed 02-26-2003", 28 pgs	
		"Application Serial No. 09/504,261 (Atty. Ref. 2043.232US1) Non Final Office Action mailed 09-25-2002", 17 pgs	
		"Application Serial No. 09/504,261 (Atty. Ref. 2043.232US1) Response filed 02-26-2003 to Non Final Office Action mailed 09-25-2002", 22 pgs	
		"Application Serial No. 09/504,261 (Atty. Ref. 2043.232US1) Response filed 08-19-2003 to Final Office Action mailed 06-23-2003", 13 pgs	
		"Application Serial No. 10/316,292 (Atty. Ref. 2043.086US5) Non Final Office Action mailed 01-18-2007", 5 pgs	
		"Application Serial No. 10/316,292 (Atty. Ref. 2043.086US5) Response filed 04-18-2007 to Non Final Office Action mailed 01-18-2007", 12 pgs	
		"Application Serial No. 10/316,296 (Atty Ref 2043.086US4), Response filed 10-04-07 to Non-Final Office Action mailed 06-04-07", 19 pgs.	
		"Application Serial No. 10/316,296 (Atty. Ref. 2043.086US4) Non Final Office Action mailed 06-04-2007", 32 pgs	
		"Application Serial No. 10/316,297 (Atty. Ref. No. 2043.086U12), Response filed 08-14-07 to Non-Final Office Action mailed May 16, 2007.", 13 pgs.	
		"Application Serial No. 10/316,325 (Atty Ref 2043.086US6), Response filed 10-11-07 to Non-Final Office Action mailed 06-11-07", 16 pgs.	
EA		"Application Serial No. 10/316,325 (Atty. Ref. 2043.086US6) Non Final Office Action mailed 06-11-2007", 36 pgs	

EXAMINER

Akintola

DATE CONSIDERED

11/8/07

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. † Applicant's unique citation designation number (optional) ‡ Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete If Known

Application Number	09/706,849
Filing Date	November 7, 2000
First Named Inventor	Fisher, Alan
Group Art Unit	3691
Examiner Name	Akintola, Olabode

Sheet 2 of 2

Attorney Docket No: 2043.086US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DA		"Application Serial No. 10/318,676 (Atty Ref 2043.086US8), Response filed 10-09-07 to Non-Final Office Action mailed 06-04-07", 17 pgs.	
		"Application Serial No. 10/318,676 (Atty. Ref. 2043.086US8) Non Final Office Action mailed 06-04-2007", 31 pgs	
		"Application Serial No. 10/319,869 (Atty Ref 2043.086U10), Response filed 10-16-07 to Non-Final Office Action mailed 07-16-07", 9 pgs.	
		"Application Serial No. 10/750,052 (Atty. Ref. 2043.036US1) Final Office Action mailed 06-26-2007", 19 pgs	
		"Application Serial No. 10/750,052 (Atty. Ref. 2043.036US1) Non Final Office Action mailed 12-22-2006", 10 pgs	
		"Application Serial No. 10/750,052 (Atty. Ref. 2043.036US1) Response filed 03-22-2007 to Non Final Office Action mailed 12-22-2006", 13 pgs	
		"Application Serial No. 10/750,052 (Atty. Ref. 2043.036US1) Response filed 08-27-2007 to Final Office Action mailed 06-26-2007", 11 pgs	
		"New bidding rules to begin in FCC's auction of airwave", <u>Austin American Statemen (Newspaper)</u> , FINAL Edition, (Feb. 4, 1995),D.5	
		MESSMER, ELLEN , "Car Auctions via Business TV to Debut", <u>Network World</u> , Vol. 11, Iss. 30, (07 25 94),20	
		MILGROM, PAUL R., et al., "A Theory of Auctions and Competitive Bidding", <u>Econometrica</u> , Vol. 50, No. 5, (Sept. 1982),1089-1122	
		OATES, SARAH , "Rarin Checks May Be Allowed", <u>Orlando Sentinal</u> , (07 13 88),9	
UV8		SAUNDERS, BARBARA , "Final Gags 'De-vintaging' Rule Contains 2 Major Changes for Negotiations", <u>Oil Daily</u> , (6/10/86),1-2	

EXAMINER

Akintola

DATE CONSIDERED

11/8/02

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FEB 10 2003

(use as many sheets as necessary)

Complete if Known

Application Number	09/706,849
Filing Date	November 7, 2000
First Named Inventor:	Alan S. Fisher
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	003801.P086C

Sheet

1

of

7

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
✓		3,581,072		Nymeyer	05-25-1971	
		4,677,552		Sibley, Jr.	06-30-1987	
		4,789,928		Fujisaki	12-06-1988	
		4,903,201		Wagner	02-20-1990	
		5,063,507		Lindsey, et al.	11-03-1991	
		5,101,353		Lupien, et al.	03-31-1992	
		5,136,501		Silverman, et al.	08-04-1992	
		5,168,446		Wiseman	12-01-1992	
		5,243,515		Lee	09-07-1993	
		5,258,908		Hartheimer, et al.	11-02-1993	
		5,305,200		Hartheimer, et al.	04-19-1994	
		5,317,683		Hager, et al.	05-31-1994	
		5,325,297		Bird, et al.	06-28-1994	
		5,329,589		Fraser, et al.	07-12-1994	
		5,375,055		Togher, et al.	12-20-1994	
		5,394,324		Clearwater	02-28-1995	
		5,426,281		Abecassis	06-20-1995	
		5,428,778		Brookes	06-27-1995	
✓		5,553,145		Micali	09-03-1966	
✓		5,629,982		Micali	05-13-1997	

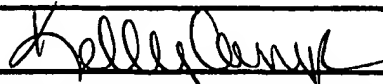
RECEIVED

FEB 11 2003

GROUP 3600

FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^o
		Office ³	Number ⁴	Kind Code ⁵ (If known)				

Examiner
Signature


Date Considered

5/30/95

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified)				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/706,849
				Filing Date	November 7, 2000
				First Named Inventor:	Alan S. Fisher
				Group Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
Sheet 2	of	7	Attorney Docket Number	003801.P086C	

U.S. PATENT DOCUMENTS						
Exam. Initial ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
✓		5,640,569		Miller, et al.	06-17-1997	
		5,664,115		Fraser	09-02-1997	
		5,689,652		Lupien, et al.	11-18-1997	
		5,694,546		Reisman	12-02-1997	
		5,715,402		Popolo	02-08-1998	
		5,774,873		Berent, et al.	06-30-1998	
		5,778,367		Wesinger, Jr. et al.	07-07-1998	
		5,794,219		Brown	08-10-1998	
		5,835,896		Fisher, et al.	11-10-1998	
		5,890,138		Godin, et al.	03-30-1999	
		5,905,975		Ausubel	05-18-1999	
✓		6,006,201		Berent, et al.	12-20-1999	
		4,799,156		Shavit, et al.	01-17-1989	
		5,310,997		Roach, et al.	05-10-1994	

RECEIVED

FEB 11 2000

GROUP 3600

FOREIGN PATENT DOCUMENTS								
Exam. Initial ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
✓		FR	2 658 635		Assabgui Mourad	08-23-1991		
		WO	96/34356		Woolston, Thomas, G.	10-31-1996		
		NL	9300266		Hubertus, Theodoor, et al.	09-01-1994		X
✓		WO	92/15174		Grusin, Hillard T., et al.	09-03-1992		

Examiner Signature	<i>Kelley Camp</i>	Date Considered	5/30/05
--------------------	--------------------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

FEB 11 2003

GROUP 3600

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/706,849
Filing Date	November 7, 2000
First Named Inventor	Alan S. Fisher
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	003801.P086C

Sheet 3 of 7

OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cita No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
46		BANATRE, J-P, et al., "The Design and Building of Enchere, A Distributed Electronic Marketing System," Communications of the Association for Computing Machinery, New York, NY, US. Vol. 29, No. 1, Jan. 1986, pp 19-29, XP000002077.	
		SHULMAN, Richard E., "VICS and Quick Response: Priority Issues for Mass Merchandisers, Oct. 1989 Supermarket Business, v44, n10, pp. 13(4).	
		ROCKOFF, Todd E. et al., "Design of an Internet-Based System for Remote Dutch Auctions," Internet Research: Electronic Networking Applications and Policy, vol. 5, No. 4, 1995, pp. 10-16	
		BOOKER, Ellis, "Mega Real Estate Auction Counts on Imaging," Computerworld, Dec. 7, 1992, p. 20.	
		DYSON, Esther, "Information, Bid and Asked," Forbes, Aug. 20, 1990, p.92.	
		TENENBAUM, Jay M. et al., "CommerceNet: Spontaneous Electronic Commerce on the Internet," 1995 IEEE Spring Compcon, pp. 38-43.	
		"ONSALE: ONSALE Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for The Computer Museum in Boston" Mountain View, CA, May 22, 1995, © 1998 Business Wire, 4 pgs.	
		"The Computer Museum Brings Auction Block to Cyberspace in First Internet Auction," Business Wire, © 1994 Business Wire, Inc., Mar. 14. 1994, 2 pgs.	
		"AUCNET TV Auction Network System," Harvard Business School, 9- 190-001, Jul. 19, 1989, 1 pg.	
		"AUCNET: The Story Continues," Harvard Business School, 2-195-122, Jan. 17, 1995, 1 pg.	
		COHEN, Danny, "Electronic Commerce," University of Southern California, Information Sciences Institute, ISI Research Report, ISI/RR-89-244, Oct. 1989, cover, index, pgs. 1-19; 31-33.	

Examiner Signature	<i>Kelly Chung</i>	Date Considered	5/30/05
--------------------	--------------------	-----------------	---------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified)		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/706,849
		Filing Date	November 7, 2000
		First Named Inventor:	Alan S. Fisher
		Group Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
Attorney Docket Number	003801.P086C		
Sheet 4	of 7		

RECEIVED
 FEB 11 2003
 GROUP 3600

OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
KC		FRANKLIN, Matthew K. et al., "The Design and Implementation of a Secure Auction Service," 1995 IEEE Symposium on Security and Privacy, Oakland, California, May 8-10, 1995, pp. 2-14 and cover.	
		GRAVES, Robert L. et al., "An Auction Method for Course Registration," INTERFACES 23:5, Sep.-Oct., 1993, pp. 81-92.	
		MCCABE, Kevin A. et al., "Smart Computer-Assisted Markets," Science, Vol. 254, Oct. 25, 1991, pp. 534-538.	
		TUROFF, Murray et al., "An Electronic Information Marketplace," North-Holland Computer Networks and ISDN Systems 9 (1985), pp. 79-90.	
		FREEMAN, Brian et al., "Hosting Services—Linking The Information Warehouse to the Information Consumer," IEEE Spring Compcon 1994, pp. 165-171.	
		VARIAN, Hal. R. "Economic Mechanism Design for Computerized Agents," USENIX Association, Proceedings of the First USENIX Workshop on Electronic Commerce, New York, New York, Jul. 11-12, 1995, pp. 13-21 and cover.	
		SIRBU, Marvin et al., "NetBill: An Internet Commerce System Optimized for Network Delivered Services," IEEE 1995 Spring Compcon, pp. 20-25.	
		SCHMITZ, Tom, "California Computer Auctions No Boon for Bargain Hunters," September 13, 1993, San Jose Mercury News, Calif., 4 pgs.	
		MASKERY, Maryann, "Car Auction Reaches Into Space," Automotive News, November 25, 1991, p. 6, (3 pgs.)	
✓		ANDERSON, Scott et al., "The Efficiency of Experimental Asset Markets: Empirical Robustness and Subject Sophistication," Research in Experimental Economics, Vol. 4, pp. 107-190, © 1991 JAI Press, Inc.	
KC		WILLIAMS, Arlington W. and WALKER, James M. "Computerized Laboratory Exercises for Microeconomics Education: Three Applications Motivated by Experimental Economics," The Journal of Economic Education, Fall 1993, Vol. 24, No. 4, 13 pgs.	

Examiner Signature	<i>Kelly O'Connell</i>	Date Considered	5/30/05
--------------------	------------------------	-----------------	---------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 5 of 7

5

of

7

Attorney Docket Number

003801.P086C

Complete If Known

Application Number 09/706,849
 Filing Date November 7, 2000
 First Named Inventor: Alan S. Fisher
 Group Art Unit Not yet assigned
 Examiner Name Not yet assigned

RECEIVED

FEB 11 2003

GROUP 3600

OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
AKC		SAEKI, Motoshi et al., "Supporting Distributed Individual Work in Cooperative Specification Development," Department of Computer Science, Tokyo Institute of Technology, Nov. 15, 1995, pp. 232-247.	
HA		HELINSKI, Paul "Automating Web-Site Maintenance Part 2, Perl-Based Tools to Manage Your Web Site," Web Techniques, December 1996, pp. 75-76 & 78.	
HA		"Computer Museum Hosts Internet Auction," Computerworld, March 28, 1994, 1 page.	
JA		"Seventh Annual InterMac Users Group Education Symposium," Computerworld, March 28, 1994, (on same page as above reference).	
JA		"Auctioning off Relics of the Computer Age," Business Week, April 11, 1994, 1 page.	
GA		"The Computer Museum Brings Auction Block to Cyberspace in First Internet Auction," March 11, 1994 press release, 1 page.	
JA		"First-Ever Internet Auction Produces Results for the Computer Museum," April 28, 1994 press release, 4 pages. (duplicate releases)	
GA		"First Internet Auction," Computer Literacy Book Bulletin (no date), 1 page.	
JA		"Unusual Farmland Auction Set," Liquidation Alert, © 1994 Harrison Scott Publications, Inc., March 28, 1994, 2 pages.	
JA		EDELL, Richard J. "Billing Users and Pricing for TCP," IEEE Journal on Selected Areas in Communications, Vol. 13, No. 7, September 1995, pp. 1162-1175	
JA		BANATRE, Michael, "Distributed Auction Bidding System," Computer Communications, Vol. 4, No. 4, August 1981, pp. 179-186.	
KA		KAHLER, Ted et al., "Research Report, "Betting, Bribery, and Bankruptcy-A Simulated Economy that Learns to Predict," IEEE Spring Compcon 1989, pp. 357-361 and cover.	

Examiner Signature

Kelley C. Smith

Date Considered

5/30/05 11/8/07

*Examiner: Initial if reference considered; whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

6

of

7

Complete If Known

Application Number	09/706,849
Filing Date	November 7, 2000
First Named Inventor	Alan S. Fisher
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	003801.P086C

RECEIVED

FEB 11 2003

GROUP 3600

OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
KC		NEO, Boon Siong, "The Implementation of an Electronic Market for Pig Trading in Singapore," The Journal of Strategic Information Systems, December 1992, Vol. 1, No. 5, cover, 2 pg. Index, pgs. 278-288.	
		SMITH, Rod, "DTN, Superior to Offer Daily Electronic Auction," Feedstuffs, Business Report Section, February 8, 1993, 1 page.	
		RECK, Martin, "Types of Electronic Auctions," ST.GALLEN, Hochschule [no date; ref. at end of article M. Reck: "The Identification and Formal Specification of Electronic Markets Types," paper presented at the ICIS Doctoral Consortium, Dallas (TX), 1992, pp. 236-243.	
		MCCARTHY, GENE, "The Electronic Auctioning of Servicing" Mortgage Banking, December 1994, pgs. 96-98.	
		JOHNSON, Alonzo et al., "Multiple Unit Double Auction User's Manual," Social Science Working Paper 676, Division Of The Humanities and Social Sciences, California Institute of Technology, June 1988, Revised September 1989, pp. EO296352-EO296369.	
		FORSYTHE, Robert, NELSON, Forrest, NEUMANN, George, and WRIGHT, Jack "The Iowa Presidential Stock Market: A Field Experiment," Research in Experimental Economics, Vol. 4, pp. 1-43, ISBN: 0-89232-652-2.	
		Cassini Resource Exchange User's Guide. California Institute of Technology, April 1993, pp. EO296417-EO296435.	
		O'DELL, John "Automobiles" The Los Angeles Times Copyright; The Times Mirror Company; Los Angeles Times 1994, pp. 13-14.	
		THOMAS, Charles M. "57 Northeast Mitsubishi Dealers Try Out Computerized Auction," Automotive News, Copyright 1994 Crain Communications Inc., September 19, 1994, pp. 11-12.	
✓		TONER, Ann, "Hogs Sold In High-Tech Market Bidders Linked Through Satellite," Omaha World-Herald Company, Omaha World Herald, September 11, 1994, Business Section, pp. E0295270-E0295273.	
KC		LEDYARD, John O., PORTER, David & RANGEL, Antonio "Using Computerized Exchange Systems to Solve an Allocation Problem in Project Management," Journal of Organizational Computing (1994), pp. 271-296.	

Examiner Signature	<i>Kelly Chapp</i>	Date Considered	5/30/05
--------------------	--------------------	-----------------	---------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449/APTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(use as many sheets as necessary)

OTPE JCA
FEB 10 2003

Substitute for Form 1449/APTO (Modified)		Complete if Known	
Application Number	09/706,849		
Filing Date	November 7, 2000		
First Named Inventor:	Alan S. Fisher		
Group Art Unit	Not yet assigned		
Examiner Name	Not yet assigned		
Attorney Docket Number	003801.P086C		

Sheet 7 of 7

RECEIVED
FEB 11 2003

GROUP 3600

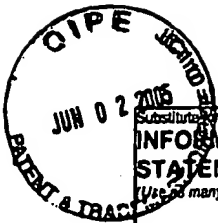
OTHER ART - NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
Kc		ANAND, R. et al., "The Electronic Flea Market," Research Report, RC 19678 (87230) 7/28/94, IBM Research Division, cover and pgs. 1-18.	
		WEISS, Aaron "The Virtual Flea Market," Internet World, June 1995, pp. 54-57.	
		LITTLEFAIR, T. "Homelink: A Unique Service," Computer Bulletin, June 1986, pp. 12-13 and index.	
		BUNKER, Ted, "How Auction Technology Sped and Enhanced Sale of Radio Licenses," Investor's Business Daily, February 24, 1995, Copyright 1995 Investor's Business Daily, Inc., 3 pages.	
		SAMMER, Harald W. "Online Stock Trading Systems: Study of an Application," IEEE Spring Comcon 1987, pp. 161-162.	
		MALONE, Thomas W. et al., "Electronic Markets and Electronic Hierarchies," Communications of the ACM, Vol. 30. No. 6, June 1987, pgs. 484-497.	
		Court of Appeals for the Federal Circuit, In re Schrader, 30 USPQ 2d, 1455-1462; claim 1 of application at issue cited at column 2, pp. 1456-1462.	
		HENG-WAH, Choy, "Electronic Stamp Auction," Google Groups View Thread, 6/17/94, 1 pg.	
		JACKSON, Ed, "Too Much Commercial Offers!" Google Groups, 3/13/96, 2 pages.	
Kc		"Save the Earth Foundation: Internet Online Rock and Roll Art Auction Celebrating Earth Day is Declared Open to the World for One Month," Business Wire, April 24, 1995, © 1999 Business Wire, 2 pgs.	

Examiner Signature	<i>Kelley Kumpf</i>	Date Considered	5/30/05
--------------------	---------------------	-----------------	---------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Substitute form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known:

Application Number	09/706,849
Filing Date	November 7, 2000
First Named Inventor	Fisher, Alan
Group Art Unit	3624
Examiner Name	Wasylichak, Steven

Sheet 1 of 1

Attorney Docket No: 2043.086US2

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date if Appropriate
✓	US-5,077,665	12/31/1991	Silverman, David L., et al.	05/25/1989
	US-5,205,200	04/27/1993	Wright, John J.	01/09/1992
	US-5,280,422	01/18/1994	Moe, John L., et al.	11/05/1990
	US-5,297,031	03/22/1994	Gutterman, Burton J., et al.	03/06/1990
	US-5,297,032	03/22/1994	Trojan, Donald R., et al.	02/01/1991
	US-5,310,997	05/10/1994	Roach, J. V., et al.	09/10/1992
	US-5,557,728	09/17/1996	Garrett, Michael J., et al.	11/22/1994
	US-5,598,557	01/28/1997	Doner, Christopher G., et al.	09/22/1992
	US-5,657,389	08/12/1997	Houvener, Robert C.	05/08/1995
	US-5,706,457	01/06/1998	Dwyer, Douglas A., et al.	06/07/1995
	US-5,710,889	01/20/1998	Clark, Barry A., et al.	06/07/1995
	US-5,727,165	03/10/1998	Ordish, Christopher J., et al.	12/27/1994
	US-5,760,917	06/02/1998	Sheridan, K. H.	09/16/1996
	US-5,771,291	06/23/1998	Newton, Farrell, et al.	12/11/1995
	US-5,771,380	06/23/1998	Tanaka, Tetsuo, et al.	10/07/1996
	US-5,790,790	08/04/1998	Smith, Jeffrey C., et al.	10/24/1996
	US-5,799,285	08/25/1998	Klingman, Edwin E.	08/30/1996
	US-5,803,500	09/08/1998	Mossberg, Bjorn E.	03/27/1997
	US-5,845,266	12/01/1998	Lupien, William A., et al.	12/12/1995
	US-5,848,139	12/08/1998	Grover, Wayne	11/19/1996
	US-5,850,442	12/15/1998	Muftic, Sead	03/26/1996
	US-5,872,848	02/16/1999	Romney, Gordon, et al.	02/18/1997
	US-5,884,056	03/16/1999	Steele, David A.	12/28/1995
	US-5,922,074	07/13/1999	Richard, Patrick, et al.	02/28/1997
	US-6,035,402	03/07/2000	Vaeth, J. S., et al.	12/20/1996
	US-6,047,264	04/04/2000	Fisher, Alan S., et al.	10/08/1996
	US-6,058,379	05/02/2000	Odom, James M., et al.	07/11/1997
	US-6,104,815	08/15/2000	Alcorn, Allan E., et al.	01/09/1998
	US-6,119,137	09/12/2000	Smith, Jeffrey C., et al.	01/30/1997
	US-6,161,099	12/12/2000	Harrington, Myles, et al.	05/29/1998
	US-6,178,408	01/23/2001	Copple, Brenda E., et al.	07/14/1999
	US-6,192,407	02/20/2001	Smith, Jeffrey C., et al.	04/04/1997
	US-6,202,051	03/13/2001	Woolston, Thomas G.	02/19/1999
	US-6,338,050	01/08/2002	Conklin, Jeffrey, et al.	11/16/1998
✓	US-6,430,545	08/06/2002	Honarvar, L., et al.	12/21/1998
✓	US-6,499,018	12/24/2002	Alaia, Marc, et al.	05/14/1999

EXAMINER

DATE CONSIDERED

5/30/05

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional): Applicant is to place a check mark here if English language Translation is attached.